

PATENT

Docket No. RSW9-2001-0074-US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: L. Scott Rich, Ritchard L. Schacher and Tianyu Jiang

APPLICATION NO. 09/825,081

RECEIVED

Art Unit: 2122

FILED: April 2, 2001

JUL 05 2001

CASE: RSW9-2001-0074-US1 Technology Center 2100

TITLE: METHOD AND SYSTEM FOR PROVIDING A  
PROGRAMMING INTERFACE FOR LOADING AND SAVING  
ARCHIVES IN ENTERPRISE APPLICATIONS

---

CERTIFICATE OF MAILING

I hereby certify that this correspondence, along with any paper indicated as being enclosed, are being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 28, 2001.

6-28-01  
Date

Lynn M. White  
Lynn M. White

---

Commissioner of Patents  
Washington, DC 20231

Information Disclosure Statement  
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

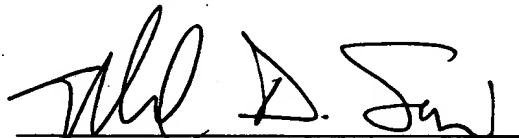
Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Form PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the publications listed on the attached Form PTO-1449 are submitted herewith.

It is respectfully requested that the Examiner initial Form PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed within three months of the filing date of the patent application, no fee or certification is required.

Respectfully submitted,

Date 6/28/01



Mark D. Simpson  
Reg. No. 32,942  
Synnestvedt & Lechner LLP  
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107-2950  
(215) 923-4466

M:\MSimpson\Clients\IBM\24930 USA\Patent Office\IDS Transmittal.wpd



RECEIVED

JUL 05 2001

Technology Center 2100

Sheet 1 of 1

<b>Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce Patent and Trademark Office</b>	<b>Docket No.</b> RSW920010074 US1	<b>Application No.</b> 09/825,081
	<b>Applicant(s)</b> L. Scott Rich, Ritchard L. Schacher and Tianyu Jiang	
	<b>Filing Date</b> 04/02/2001	<b>Group</b> 2122

**U.S. PATENT DOCUMENTS**

Examiner Initials		Document No.	Date	Name	Class	Subclass
	AA	6,144,999	11/07/2000	Khalidi et al.	709	219
	AB	6,111,579	08/29/2000	Alimpich et al.	345	356
	AC	6,067,477	05/23/2000	Wewalaarachchi et al.	700	83
	AD	6,029,178	02/22/2000	Martin et al.	707	201
	AE	5,963,207	10/05/1999	Brewer et al.	345	352
	AF	5,956,733	09/21/1999	Nakano et al.	707	204
	AG	6,185,590 B1	02/06/2001	Klein	707	526
	AH	6,128,730	10/03/2000	Levine	713	1
	AI	6,105,066	08/15/2000	Hayes, Jr.	709	226
	AJ	5,996,012	11/30/1999	Jarriel	709	226

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		Document No.	Date	Country	Translation	
					Yes	No
	AL	WO 2000/33187	06/08/2000	PCT (Abstract only)	X	
	AM	WO 200033217	06/08/2000	PCT (Abstract only)	X	
	AN	JP408272868 A	10/18/1996	Japan	X (Abstract only)	
	AO	JP11250149A	09/17/1999	Japan	X (Abstract only)	

<b>Examiner</b>	<b>Date Considered</b>
-----------------	------------------------